

Electronic Acknowledgement Receipt

EFS ID:	9621485
Application Number:	10042371
International Application Number:	
Confirmation Number:	6761
Title of Invention:	Systems and methods for creating and trading dynamic securities
First Named Inventor/Applicant Name:	Andrew C. Gilbert
Customer Number:	63710
Filer:	Glen Farbanish/Laura Alto
Filer Authorized By:	Glen Farbanish
Attorney Docket Number:	01-1046
Receipt Date:	09-MAR-2011
Filing Date:	27-DEC-2001
Time Stamp:	15:30:00
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	01-1046_110309_IDS.pdf	42501 <small>c29ac0e2edf77ac727dc602cecf64543c7677965</small>	no	5

Warnings:

Information:

2	Information Disclosure Statement (IDS) Filed (SB/08)	01-1046_110309_1449.pdf	32686	no	2
			32ac93a8e73a3b7c6841e310b1d22e576b1ac71a		
Warnings:					
Information:					
This is not an USPTO supplied IDS fillable form					
3	NPL Documents	09-927628_OA_10-25-2010.pdf	395244	no	11
			6b3beead84d60f92bb8cea9e2b7dc0d3807c5297		
Warnings:					
Information:					
4	NPL Documents	12-119604_NOA_11-22-2010.pdf	595011	no	15
			a2487bd6d267b98572b3b65070b9b47a7d124739		
Warnings:					
Information:					
5	NPL Documents	PCT-US08-53533_ISR.pdf	260140	no	6
			c9bbfd1f76c05e5214675a956a1870afc820e34f		
Warnings:					
Information:					
6	NPL Documents	11-675294_OA_09-15-2008.pdf	237220	no	7
			be6583dcab102c9721d6d0fe38b5aa522478de5c		
Warnings:					
Information:					
7	NPL Documents	11-675294_EXIN_06-09-2009.pdf	60613	no	2
			181f80346617d63a2048713f3d23d6542d1c2d7b		
Warnings:					
Information:					
8	NPL Documents	11-675294_EXIN_07-09-2009.pdf	34959	no	1
			254dbfc44f6b6aaca53717fbac4e18a07c5cae97		
Warnings:					
Information:					
9	NPL Documents	11-675294_NOA_07-09-2009.pdf	589876	no	15
			af3d91b765764f14b2c6f05417e90b9ad302fafa		
Warnings:					
Information:					
10	NPL Documents	11-675294_EXIN_08-20-2009.pdf	167091	no	4
			f3a8a7792d507733e11dd30daf05ac9e97c24964		

Warnings:					
Information:					
11	NPL Documents	11-675294_EXIN_11-16-2009.pdf	56640 5e2316ff2af56d47e2f86caccfce4e553f727245	no	3
Warnings:					
Information:					
12	NPL Documents	11-675294_Response_Rule_312_11-19-2009.pdf	38153 ec715102802863a0fc8322982add308d3172c3d3	no	2
Warnings:					
Information:					
13	NPL Documents	PCT-US08-53533_IPER_08-19-2009.pdf	201981 6dc7199ec095d022dc09f6848937269510c3934c	no	5
Warnings:					
Information:					
14	NPL Documents	AU_2008216436_Exam_Report_07-30-2010.pdf	83088 8abc6b550e6443fc2f210a52eaea573d4ca8163c	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			2795203		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					